



**Request for Clean Water Act
Section 401 Water Quality Certification
Washington State Department of Ecology
Phone: (360) 407-6076 or E-mail: ecyrefedpermits@ecy.wa.gov**

AGENCY USE ONLY
Date Received:
Aquatics ID No.:
Team:
Valid Request:

This Section 401 Water Quality Certification (WQC) Request form identifies information needed in order to review and process a Section 401 WQC Request. Please see Department of Ecology's (Ecology) [webpage](#) for more information about the Section 401 WQC Request process.

Submit this Section 401 WQC Request form along with a [Joint Aquatic Resources Permit Application](#) (JARPA) and supporting information¹ to ecyrefedpermits@ecy.wa.gov and copy the federal permitting agency.

A. Federal Permit or License Reference Number, if known: _____
Department of Ecology (Ecology) Aquatics ID Number, if known: _____
Project Name: _____ **County:** _____

B. Project Proponent Name: _____

C. Documentation showing that the Pre-Filing Meeting Request was submitted at least 30 days prior to submitting this Section 401 WQC Request. Attach either of the following:

- E-mail acknowledgement of receipt from Ecology
- Copy of previously submitted Pre-Filing Meeting Request Form

D. A completed, signed, and dated JARPA should be submitted with this form.

Did you attach a JARPA? Yes No

E. The following is a list of documents needed for Ecology's WQC review, along with a brief explanation. Depending on the project, additional information may be requested.

Please let us know what information you are submitting with this WQC request form.

Required for all projects:

1. State Environmental Policy Act (SEPA) determination and/or checklist:
 - Final SEPA determination attached
 - SEPA determination pending
 - Exempt from SEPA (see [SEPA Guidance](#))
 - SEPA is not required (e.g., federal agency projects)

¹ To submit documents over 25MB, e-mail ecyrefedpermits@ecy.wa.gov to request a secure link.

To request an ADA accommodation, contact Ecology by phone at (360) 407-6076 or email at ecyrefedpermits@ecy.wa.gov, or visit <https://ecology.wa.gov/accessibility>.
 For Relay Service or TTY call 711 or 877-833-6341.

Si necesita este formulario en español, por favor, llámenos a (360) 407-6076
 o envíenos un correo electrónico a: ecyrefedpermits@ecy.wa.gov

2. Project drawings attached:

- Vicinity map
- Plan view
- Cross-section(s)
- Plan set
- Other: _____

3. Best management practices and construction methodology, provided in the attached:

- JARPA
- Water Quality Monitoring and Protection Plan (WQMPP)
- Project drawings, sheets: _____
- Mitigation Plan pages: _____
- Other document(s): _____

Notes:

- This is needed for in-water work (below ordinary high water mark), including wetlands.
- Describe best management practices to be implemented to protect water quality.
- Describe construction sequencing and methodology.

4. Water quality monitoring, provided in the attached:

- Water Quality Monitoring Plan (WQMP).
- Water Quality Monitoring and Protection Plan (WQMPP is similar to WQMP, but includes best management practices).
- Other (please identify location, such as JARPA, Part 8): _____

Notes:

- Include language in the plans that allows Ecology to review and approve all substantive changes to a plan prior to implementation.
- A plan is needed when conducting work in a waterbody (e.g., creek, ditch, river, lake, pond, marine, estuarine).
- Include water quality parameters such as turbidity, oil sheen, pH (e.g., poured in-place concrete, concrete demolition), etc.
- See [State Water Quality Standards for Surface Waters](#) (Chapter 173-201A-200 or -210 WAC)
- If needed, templates are available.

Required depending on the project type:

5. Erosion and sediment control for upland work (above ordinary high water mark) that addresses stormwater during construction and long-term:

This information is included in the attached:

- JARPA
- Project drawings, sheets: _____
- Stormwater Pollution Prevention Plan, pages: _____
- Mitigation Plan, pages: _____
- Other document(s): _____

6. Wetland report, including the attached:

- Wetland delineation report
- Delineation data sheets
- Wetland rating forms

Notes:

- Needed when there is a discharge (dewatering, excavation or fill) to wetlands.
- Report needs to include both a wetland delineation and rating.
- Include delineation data sheets and rating forms.
- For more information see [wetland delineation resources](#) and [hiring a qualified wetland professional](#).
- Include language in the plans that allows Ecology to review and approve all substantive changes to a plan prior to implementation.

7. Mitigation, avoidance and minimization

- Wetland [avoidance and minimization checklist](#)
- Other aquatic resource avoidance and minimization demonstration
- Mitigation Plan
- Other: _____

Notes:

- Wetland [avoidance and minimization webpage](#).

8. Mitigation plan, provided in the attached:

- Riparian Planting and Monitoring Plan (Needed when riparian vegetation is removed or modified)
- Wetland or stream/other aquatic resource Mitigation Plan
- Wetland Mitigation Bank Use Plan (use when proposing mitigation bank use)
- In-Lieu Fee (ILF) Use Plan (use when proposing ILF mitigation)
- Project drawings, sheets: _____
- Other: _____

Notes:

- Needed to offset impacts to wetland, stream, marine, or other aquatic habitat.
- Include language in the plans that allows Ecology to review and approve all substantive changes to a plan prior to implementation.
- For more information, see [wetland compensatory mitigation](#).

9. Dredging

- Dredging Plan attached
- Suitability Determination attached

Notes:

- Needed when sediments will be dredged for maintenance, navigation, or other purposes.
- Covers in-water disposal and sediment anti-degradation.
- Dredging Plan should include dredge footprint and depth, dredge type, best management practices, disposal plan, off-loading plan for upland disposal, etc.
- Include language in the plans that allows Ecology to review and approve all substantive changes to a plan prior to implementation.
- For information on suitability determinations, see [Dredged Material Management Office](#).

10. Dewatering

- Dewatering Plan attached

Notes:

- Needed for complex in-water work or management of excavated/dredged material.

- Include language in the plans that allows Ecology to review and approve all substantive changes to a plan prior to implementation.
- May also be required for some excavation projects.

F. Required Certification Statements:

The project proponent hereby certifies that all information contained herein is true, accurate, and complete, to the best of my knowledge and belief.

Initial_____

The project proponent hereby requests that the certifying authority review and take action on this CWA 401 certification request within the applicable reasonable period of time.

Initial_____

Signature:_____Date:_____

Print Name:_____