



**Request for Clean Water Act  
Section 401 Water Quality Certification  
Washington State Department of Ecology**  
Phone: (360) 407-6076 or E-mail: [ecyrefedpermits@ecy.wa.gov](mailto:ecyrefedpermits@ecy.wa.gov)

AGENCY USE ONLY

Date Received:  
Aquatics ID No.:  
County:  
Complete Request:

This Section 401 Water Quality Certification (WQC) request form must be submitted as part of a WQC request and identifies information needed for review. Please see Department of Ecology's (Ecology) [webpage](#)<sup>1</sup> for more information about the WQC request process and additional information regarding the request requirements. Contact Ecology if an example plan or template would be helpful in preparing your WQC request.

Submit this WQC Request form along with the supporting information identified below to [ecyrefedpermits@ecy.wa.gov](mailto:ecyrefedpermits@ecy.wa.gov).

Request packages must be sent in by email. Mail submissions will not be accepted. Supporting information should not be consolidated into one large file, if your documents are consolidated into one file, please separate them before submitting<sup>2</sup>.

Per the 2023 EPA Water Quality Certification rule, Ecology, as the certifying authority,<sup>3</sup> may identify the contents of a request for certification relevant to water quality related impacts from the activity. Items listed in Section D are always required for a complete application. If notified by Ecology prior to submittal of this request, items listed in Section E are also required. If this information has been provided to Ecology as part of your federal permit application, you do not need to submit them again. However, please indicate in Section D how they were provided. Ecology will provide acknowledgement of receipt of a complete WQC request to the project proponent. Once Ecology confirms we have received all the required information, our review time will begin.

#### A. Project Information

Project Name:

Ecology Aquatics ID Number:

Project Location (Please attach a project location map when submitting this form):

Project Address:

County:

<sup>1</sup> <https://ecology.wa.gov/Regulations-Permits/Permits-certifications/401-Water-quality-certification>

<sup>2</sup> To submit documents over 25MB, e-mail [ecyrefedpermits@ecy.wa.gov](mailto:ecyrefedpermits@ecy.wa.gov) to request a secure link. Ecology does not accept outside links. Please include the Aquatics ID and project name when requesting a link.

<sup>3</sup> If the proposed work is on federal or Tribal land, it is up to the project proponent to confirm land ownership and provide the federal agency with a WQC from the appropriate Section 401 certifying authority. Ecology will proceed with processing your request unless we are notified that we are not the certifying authority.

To request an ADA accommodation, contact Ecology by phone at (360) 407-6076 or email at [ecyrefedpermits@ecy.wa.gov](mailto:ecyrefedpermits@ecy.wa.gov), or visit <https://ecology.wa.gov/accessibility>.  
For Relay Service or TTY call 711 or 877-833-6341.

Si necesita este formulario en español, por favor, llámenos a (360) 407-6076  
o envíenos un correo electrónico a: [ecyrefedpermits@ecy.wa.gov](mailto:ecyrefedpermits@ecy.wa.gov)

## B. Federal Permit Application

A copy of the federal permit application must be submitted with your request (40 CFR 121.5(a)(1)(i)). This can be done by copying Ecology ([ecyrefedpermits@ecy.wa.gov](mailto:ecyrefedpermits@ecy.wa.gov)) when you submit your application to the federal agency or forwarding the confirmation email from the federal agency with a copy of your application.

- ☐ I have copied Ecology on my federal permit application submitted on date: \_\_\_\_\_ or,  
☐ I forwarded the confirmation email from the federal agency along with a copy of my application, or  
☐ Other, please explain.

**Federal Permit or License Reference Number, if known:** \_\_\_\_\_

Federal Agency: ☐ U.S. Army Corps of Engineers (Corps) ☐ U.S. Coast Guard  
☐ Federal Energy Regulatory Commission ☐ Environmental Protection Agency (EPA)  
☐ Other:

Identify the U.S. Army Corps permit, if applicable: ☐ Nationwide Permit ☐ Individual ☐ Other: \_\_\_\_\_  
If Nationwide Permit which one(s)? NWP(s) # \_\_\_\_\_

## C. A Pre-Filing Meeting Request must be submitted to Ecology at least 30-days prior to submitting a WQC request unless otherwise approved.

☐ A pre-filing meeting request was submitted on date: \_\_\_\_\_

## D. Supporting information required for all projects. Please indicate where the following documents or information can be found within this WQC request or if it was submitted previously to Ecology. If not a specific plan or report, indicate the name and page number of the document where the information can be found.

	Within this WQC request	Previously submitted to Ecology and is still up to date	Indicate where the information can be found within the submission
Complete up to date JARPA or other accepted application form	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Status of State Environmental Policy Act (SEPA) determination and/or exemption	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Project location map and drawings	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Best management practices (BMPs)	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Construction methodologies	<input type="checkbox"/>	<input type="checkbox"/> Date:	
<b>Requirements for In-Water Work</b>			
Water quality monitoring plan or Water Quality Monitoring Protection Plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Aquatic resource avoidance and minimization identified (e.g. eelgrass)	<input type="checkbox"/>	<input type="checkbox"/> Date:	

Riparian revegetation, restoration, and management measures	<input type="checkbox"/>	<input type="checkbox"/> Date:	
<b>Requirements for Work in Wetlands</b>			
Wetland delineation report with data sheets	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Wetland ratings	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Wetland mitigation plan, including avoidance and minimization measures, for wetland, stream, and/or other aquatic resources	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Riparian planting and monitoring and measures	<input type="checkbox"/>	<input type="checkbox"/> Date:	

**E. Required by project type or when identified as needed by Ecology.** Please indicate where the following documents or information can be found within this WQC request or if it was submitted previously to Ecology. If not a specific plan or report, indicate the name and page number of the document where the information can be found.

	Within this WQC request	Previously submitted to Ecology and is still up to date	Indicate where the information can be found within the submission
<b>Mitigation</b>			
Wetland mitigation bank use plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
In-lieu (ILF) use plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
<b>Upland Work</b>			
Erosion and sediment control plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Stormwater pollution prevention plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
<b>De-Watering</b>			
Flow diversion, cofferdam, and dewatering system plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Stream bypass plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Water dispersion/infiltration plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Water treatment plan (Chitosan, aeration, dry ice, etc.)	<input type="checkbox"/>	<input type="checkbox"/> Date:	
<b>Culverts and Bridges</b>			
Bridge demolition and construction plans	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Culvert removal and replacement plans	<input type="checkbox"/>	<input type="checkbox"/> Date:	
<b>Dredging</b>			
Dredging and excavation plans	<input type="checkbox"/>	<input type="checkbox"/> Date:	

Status of Suitability determination	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Sediment testing and characterization reports	<input type="checkbox"/>	<input type="checkbox"/> Date:	
<b>Other</b>			
Stone column installation plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Horizontal direction drill (HDD) inadvertent return plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Spill prevention control and countermeasures plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Levee repair and bank stabilization plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Piling removal and installation plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Wastewater servicing for marina operations	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Aquatic invasive species management plan	<input type="checkbox"/>	<input type="checkbox"/> Date:	
Engineer Design Report for cleanup projects	<input type="checkbox"/>	<input type="checkbox"/> Date:	

#### F. Project Proponent Information

##### Project Proponent

First/Last Name:

Organization:

Phone #:

E-mail:

##### Agent/Consultant

First/Last Name:

Organization:

Phone #:

E-mail:

#### G. Required Certification Statements:

The project proponent hereby certifies that all information contained herein is true, accurate, and complete, to the best of my knowledge and belief.

The project proponent hereby requests that the certifying authority review and act on this WQC request within the applicable reasonable period of time.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Print Name: \_\_\_\_\_