



**Clean Water Act Section 401 Water Quality Certification
Request Checklist**
Washington State Department of Ecology
Phone: (360) 407-6076 or E-mail: ecyrefedpermits@ecy.wa.gov

AGENCY USE ONLY

Date Received:
Aquatics ID#:
Team:

The Section 401 Water Quality Certification (WQC) Request Checklist is intended to help ensure that you are submitting the necessary information with your request. This form identifies information that is typically needed in order to review and process a Section 401 WQC request in a timely manner. Please see Ecology's [webpage](#) for more information about the 401 Request process and required information.

Submit this checklist along with a [Joint Aquatic Resources Permit Application](#) (JARPA) and supporting information to ecyrefedpermits@ecy.wa.gov and copy the federal permitting agency.

A. Federal Permit or License Reference Number, if known:

Department of Ecology (Ecology) Project ID Number, if known:

Project Name:

County:

B. Applicant Name:

C. Did you submit a pre-application meeting request form? Yes No

D. A completed, signed, and dated JARPA should be submitted with this form.

Did you attach a JARPA? Yes No

E. The following is a list of documents typically needed for Ecology's WQC review, along with a brief explanation. Depending on the project, additional information may be requested.

Please let us know what information you are submitting with your WQC request and this form:

- Final State Environmental Policy Act (SEPA) determination
- Project drawings
 - Vicinity map, plan view, and cross-section
- Best management practices and construction methodology
 - This information is not needed if incorporated into a Water Quality Monitoring and Protection Plan (WQMPP)—see below
 - For in-water work (below ordinary high water mark), including wetlands
 - Describe best management practices to be implemented to protect water quality
 - Describe construction sequencing and methodology
- Water Quality Monitoring Plan (WQMP) or WQMPP
 - This is needed when conducting work in a waterbody (e.g., in creek, ditch, river, lake, pond, marine, estuarine)
 - Include parameters such as turbidity, sheen, pH (poured in-place concrete), etc.
 - WQMPP is similar to WQMP, but includes best management practices
 - See [State Water Quality Standards for Surface Waters](#) (Chapter 173-201A-200 or -210 WAC)
 - If needed, templates are available

- Erosion and Sediment Control Plan
 - For upland work (above ordinary high water mark)
 - Addresses stormwater (temporary or long-term)
 - May be included in project drawings or selected pages from a Stormwater Pollution and Prevention Plan
- Jurisdictional determination
 - Needed for non-federally regulated waters (state waters that are not “waters of the United States”)
 - Determined by [U.S. Army Corps of Engineers](#) or Environmental Protection Agency
 - Ecology regulates state waters (including wetlands) in Washington, regardless of federal jurisdiction. For more information see [state wetland regulations](#)
- Wetland Report
 - Needed when there is a discharge (excavation or fill) to wetlands
 - Report needs to include both a wetland delineation and rating
 - Include delineation data sheets and rating forms
 - For more information see [wetland delineation resources](#) and [hiring a qualified wetland professional](#)
- Mitigation Plan
 - Needed to offset impacts to wetland, stream, marine, or other aquatic habitat
 - Submit a Wetland Mitigation Bank Use Plan when proposing mitigation bank use, or an In-Lieu Fee (ILF) Use Plan when proposing ILF mitigation
 - For more information, see [wetland compensatory mitigation](#)
- Riparian Planting and Monitoring Plan
 - Needed when riparian vegetation is removed or modified
 - May be included in plan set or mitigation plan
- Suitability Determination
 - Needed when sediments will be dredged for maintenance, navigation, other purposes
 - Covers in-water disposal and sediment anti-degradation
 - For information see [Dredged Material Management Office](#)
- Dredging Plan
 - Needed when sediments will be dredged for maintenance, navigation, other purposes
 - Should include dredge footprint and depth, dredge type, best management practices, disposal plan, off-loading plan for upland disposal, etc.
- Dewatering Plan
 - Needed for complex in-water work or management of excavated/dredged material
 - May also be required for some excavation projects

To request an ADA accommodation, contact Ecology by phone at (360) 407-6076 or email at ecyrefedpermits@ecy.wa.gov, or visit <https://ecology.wa.gov/accessibility>. For Relay Service or TTY call 711 or 877-833-6341.

Si necesita este formulario en español, por favor, llámenos a (360) 407-6076
o envíenos un correo electrónico a: ecyrefedpermits@ecy.wa.gov